

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 7758

APPLICANTS James C. Houghton, Belle Mead, NJ; Robert B. O'Connor, Rye, NY; James W. Barry, Dix Hills, NY; Paul Calderone, Woodcliff Lake, NJ; Craig Abruzzo, Brooklyn, NY; ** CONTINUING DATA **********************************	SERIAL NUMB	IBER FILING or 371(c)			CLASS	GROUP ART UNIT		ATTORNEY DOCKET				
APPLICANTS James C. Houghton, Belle Mead, NJ; Robert B. O'Connor, Rye, NY; James W. Barry, Dix Hills, NY; Paul Calderone, Woodcliff Lake, NJ; Craig Abruzzo, Brooklyn, NY; *** CONTINUING DATA *** FOREIGN APPLICATIONS *** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/04/2004 Foreign Priority claimed	10/810,012					705		3624				
James C. Houghton, Belle Mead, NJ; Robert B. O'Connor, Rye, NY; James W. Barry, Dix Hills, NY; Paul Calderone, Woodcliff Lake, NJ; Craig Abruzzo, Brooklyn, NY; *** CONTINUING DATA ******** *** FOREIGN APPLICATIONS ******** *** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/04/2004 Foreign Priority claimed		RULE										
Foreign Priority claimed	James C. Houghton, Belle Mead, NJ; Robert B. O'Connor, Rye, NY; James W. Barry, Dix Hills, NY; Paul Calderone, Woodcliff Lake, NJ; Craig Abruzzo, Brooklyn, NY; ** CONTINUING DATA **********************************											
Acknowledged /ALAN S MILLER/ Examiners Signature Initials NJ 4 23 4 ADDRESS K&L GATES LLP 535 SMITHFIELD STREET PITTSBURGH, PA 15222 UNITED STATES TITLE Tracking account structure to support different leverage levels within an investment fund FEES: Authority has been given in Paper No						STATE OR	STATE OR SHEE		EETS TOTA		AL INDEPENDENT	
Acknowledged Examiner's Signature Initials NJ 4 23 4 ADDRESS K&L GATES LLP 535 SMITHFIELD STREET PITTSBURGH, PA 15222 UNITED STATES TITLE Tracking account structure to support different leverage levels within an investment fund FILING FEE RECEIVED 1750 FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: All Fees 1.16 Fees (Filing) 1.17 Fees (Processing Ext. of time) 1.18 Fees (Issue) 1.18 F	35 USC 119(a-d) conditions met Yes No Allowance					DRA	WINGS	CLAIMS				
K&L GATES LLP 535 SMITHFIELD STREET PITTSBURGH, PA 15222 UNITED STATES TITLE Tracking account structure to support different leverage levels within an investment fund FILING FEE RECEIVED 1750 FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: All Fees				Initials		NJ		4	23		4	
TITLE Tracking account structure to support different leverage levels within an investment fund FILING FEE RECEIVED 1750 Title Tracking account structure to support different leverage levels within an investment fund All Fees 1.16 Fees (Filing) 1.17 Fees (Processing Ext. of time) 1.18 Fees (Issue) Other												
Tracking account structure to support different leverage levels within an investment fund All Fees All Fees 1.16 Fees (Filing) 1.17 Fees (Processing Ext. of time) 1.18 Fees (Issue) Other	535 SMITHFIELD STREET PITTSBURGH, PA 15222											
FILING FEE RECEIVED 1750 FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: All Fees 1.16 Fees (Filing) 1.17 Fees (Processing Ext. of time) 1.18 Fees (Issue) Other	TITLE											
FILING FEE RECEIVED 1750 FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: 1.16 Fees (Filing) 1.17 Fees (Processing Ext. of time) 1.18 Fees (Issue) Other	Tracking account structure to support different leverage levels within an investment fund											
FILING FEE RECEIVED 1750 FEES: Authority has been given in Paper No		Noto charge/credit DEPOSIT ACCOUNT						☐ All Fees				
RECEIVED 1750 No to charge/credit DEPOSIT ACCOUNT No for following: \[\begin{align*} \text{1.17 Fees (Processing Ext. of time)} \\ \text{1.18 Fees (Issue)} \\ \text{1.18 Fees (Issue)} \\ \text{1.17 Other } \]								☐ 1.16 Fees (Filing)				
1750 No for following: 1750 Under Other								☐ 1.17 Fees (Processing Ext. of time)				
								☐ 1.18 Fees (Issue)				
☐ Credit								Other				
								☐ Credit				